



Case Name/No.: Barclay 9-58-8-8-7  
Attorney Docket No.: LUTZ 2 00263

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**MAIL STOP PATENT APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

*NEW APPLICATION TRANSMITTAL*

Transmitted herewith for filing is the patent application of:

David S. Benco, et al.

Entitled: **SYSTEM AND METHOD FOR SMS MESSAGE FILTERING**

1. **Required Papers Enclosed under 37 CFR 1.53(b) (Regular):**

16 Pages of specification  
4 Pages of claims  
1 Page of Abstract  
6 Sheets of Informal Drawings (Figures 1-8)

2. **Declaration or oath**

☒ Enclosed (**unexecuted**)

3. **Assignment**

☐ An assignment of the invention to: **Lucent Technologies Inc.**  
☐ A check in the amount of \$\_\_\_\_\_ is enclosed as well as the "Assignment Recordation Form Cover Sheet".

4. **Information Disclosure Statement**

☒ Enclosed ☒ Form 1449 PTO ☒ 1 Reference

5. **Fee Calculation (37 CFR 1.16)**

CLAIMS AS FILED				
(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))	18 - 20 =	0	x \$ 18	= \$0.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	2 - 3 =	0	x \$ 86	= \$0.00
MULTIPLE DEPENDENT CLAIMS (IF APPLICABLE) (37 CFR 1.16(d))		ANY -- 0	\$ 290	= \$0.00
BASIC FEE (37 CFR 1.16(a))				\$770.00
TOTAL =				\$ 770.00

6. ☒ A check in the amount of **\$770.00** is enclosed.



7. **Authorization to Charge Deposit Account**

- ☒ The Commissioner is hereby authorized to charge the following additional fees or credit any overpayment during the entire pendency of this application to Deposit Account No. 06-0308.
- ☒ 37 CFR 1.16(a), (f) or (g) (filing fees)
- ☒ 37 CFR 1.16(b), (c), and (d) (presentation of extra claims)
- ☒ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

8. **Please address correspondence and direct telephone calls to:**

Richard J. Minnich, Esq.  
Fay, Sharpe, Fagan, Minnich & McKee, LLP  
1100 Superior Avenue, Seventh Floor  
Cleveland, OH 44114-2518  
Telephone: 216/861-5582  
Facsimile: 216/241-1666  
Email: [rminnich@faysharpe.com](mailto:rminnich@faysharpe.com)

Respectfully submitted,

FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP

2/19/04  
Date

Patrick D. Floyd  
Patrick D. Floyd  
Reg. No. 39,671  
1100 Superior Avenue  
7<sup>th</sup> Floor  
Cleveland, Ohio 44114-2518  
216/861-5582

"Express Mail" Mailing Label Number: EL 964452453 US  
Date of Deposit: February 19, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: MAIL STOP PATENT APPLICATION, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated above.

Roseanne Giuliani  
Roseanne Giuliani